Financial Services Commission of Ontario Commission des services financiers de l'Ontario



SECTION: Multi-jurisdictional Issues

INDEX NO.: MJ300-300

TITLE: Documents at the Financial Services Commission of Ontario for Plans Registered in

Other Jurisdictions

APPROVED BY: Superintendent of Financial Services

PUBLISHED: FSCO website (November 2013)

EFFECTIVE DATE: November 1, 2013

REPLACES: I300-300

This policy replaces I300-300 (Documents At PCO For Plans in Other Provinces) as of the effective date of this policy.

Note: Where this policy conflicts with the Financial Services Commission of Ontario Act, 1997, S.O. 1997, c. 28 (FSCO Act), Pension Benefits Act, R.S.O. 1990, c. P.8 (PBA) or Regulation 909, R.R.O. 1990 (Regulation), the FSCO Act, PBA or Regulation govern.

Note: The electronic version of this policy, including direct access to all linked references, is available on FSCO's website at www.fsrao.ca. All pension policies can be accessed from the **Pensions** section of the website through the **Pension Policies** link.

What documents does the Financial Services Commission of Ontario (FSCO) have on file for pension plans that are registered in another jurisdiction and have Ontario members?

If a pension plan is registered with another jurisdiction in Canada, but has Ontario members, information about the plan is collected and retained by the jurisdiction where the plan is registered. FSCO does not normally collect or have available information about a plan that is registered in another jurisdiction even if it has Ontario members. The only exception is filings that relate to the Pension Benefits Guarantee Fund assessment certificate, if applicable.

To obtain information about a pension plan, the administrator of the pension plan is the first point of contact, followed by the jurisdiction of registration.