



Pension Commission of Ontario
Commission des régimes de retraite de l'Ontario

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Revised Checklist for Registration of Pension Plans, Restated Plan Texts and Other Amendments

The Pension Plan Document Checklist (the "Checklist") is a form designed to assist Administrators in identifying the requirements of the *Pension Benefits Act*, R.S.O. 1990 (the "PBA") and assessing whether documents submitted for registration are in compliance with the PBA. The Checklist has been revised to better reflect the fact that the responsibility for compliance rests with the plan Administrator.

The new Pension Plan Document Checklist is designed to assist Administrators in meeting regulatory requirements for restating plan texts, registering new plans, and registering plan amendments. The Pension Commission of Ontario has outlined the revised process for registering pension plans and filing pension plan amendments in a Compliance Assistance Guideline (the "Guideline" or "CAG")(CAG #5 - Fall issue 1992).

The CAG provides details on revisions to the Checklist and the new certification of responsibility that must be signed by Administrators. The Guideline will be distributed to sponsors and Administrators along with copies of the new Checklist. Plan registrations, amendments and restated plan texts filed on or after November 1, 1992 must be accompanied by the Checklist. Plan registrations and amendments made before this date will not be affected.

Where pension plans were registered in Ontario prior to January 1, 1988, Administrators must file restated plan texts with the Superintendent of Pensions by December 31, 1992. It is also the responsibility of the Administrator to ensure that pension plans filed for registration on or after January 1, 1988 are in compliance with legislative requirements.

Reviewed by PCO Staff in Summer 1996: Please refer to R500-201 and R500-251. For updated applications, please refer to R500-202 and R500-252.